Hedge Accounting and Interest Rate Risk Management System

**PQQ Score Criteria & Weightings**

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| Section Ref | Criteria | Weighting |
| Section 1  | Supplier Details  | Not weighted  |
| Section 2 | Mandatory & Discretionary  | Pass/Fail  |
| Section 3.1 | Data Protection & Cyber Security Q3.1 | Pass/Fail |
| Section 3.2 | Data protection & Cyber Security all other questions | 20% |
| Section 4 | Commerce Automation  | Not weighted |
| Section 5 | Supplier financials | 20%  |
| Section 6 | Quality Management  | 20% |
| Section 7 | Responsible Procurement  | 20% |
| Section 8 | Health and Safety  | 20% |
| Section 9 | PQQ Specific Questions | Pass/Fail |
|  | 100% |

For any Pass/Fail questions, any suppliers who ‘Fail’ will not be shortlisted to RFP.

Suppliers must score a minimum of 50% on weighted questions to be shortlisted to RFP.

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| **Section 1**  | **Question** | **Sub Criteria**  | **Max Score** | **Weighting**  |
| Supplier Details  | **1.1** | Information Only  |  |  |
| **Section 2** | **Question**  | **Sub Criteria**  | **Max Score** | **Weighting**  |
| Mandatory Exclusions  | **2.1 (a – f)** | All questions must answer no, responses with yes will be rejected  |  | Pass / Fail  |
| Discretionary Exclusions  | **2.2 (a – h)** | NGN may exclude suppliers from consideration if any of the questions in section 2.2 apply but may decide to allow the application to proceed further. NGN will take into account the information provided in considering whether or not you will be able to proceed any further in respect of this procurement exercise |  | Pass / Fail  |
| **Section 3**  | **Question** | **Sub Criteria**  | **Max Score** | **Weighting**  |
| Data Protection  | **3.1** | Suppliers must have a GDPR policy in place to trade with NGN. Any suppliers who do not have a policy in place will be rejected from the tender |  | Pass/Fail  |
| Data Protection  | **3.2** | Responses will Be assessed by NGNs data protection team and take into account the detail of the information provided about the data journey and the question answered in full. | 10 | 20% |
| Data Protection  | **3.3** | Responses will Be assessed by NGNs data protection team and take into account the detail of the information provided about the how data will be processed by a third party  | 10 | 20% |
| Cyber Security | **3.4** | Suppliers must have a Cyber Security Policy in place to trade with NGN. Any suppliers who do not have a policy in place will be rejected from the tender. |  | Pass/Fail |
| Cyber Security | **3.5** | Responses will be scored in line with the attached guidance document | 10 | 60% |
| **Section 4** | **Question**  | Sub Criteria  | **Max Score**  | **Weighting**  |
| Commerce Automation | **4.1** | For information only |  |  |
| Commerce Automation | **4.2** | For information only |  |  |
| **Section 5** | **Question**  | Sub Criteria  | **Max Score**  | **Weighting**  |
| Financial standing  | **5.1** | Responses will be scored using the scoring methodology below ‘scoring methodology for financial standing’. | 10 | 60% |
| Insurances | **5.2** | Yes will receive max score and no will be zero | 10 | 40% |
| **Section 6** | **Question**  | Sub Criteria  | **Max Score**  | **Weighting**  |
| Quality Management | **6.1** | Responses will be scored in line with the scoring criteria below ‘scoring methodology for weighted questions’ | 10 | **100%** |
| **Section 7** | **Question**  | Sub Criteria  | **Max Score**  | **Weighting**  |
| Environment  | **7.1** | Yes will receive max score and no will be zero | 10 | **10%** |
| Environment  | **7.2** | Yes will receive max score and no will be zero | 10 | **5%** |
| Environment  | **7.3** | Yes will receive max score and no will be zero | 10 | **5%** |
| Environment  | **7.4** | Yes will receive max score and no will be zero | 10 | **5%** |
| Environment  | **7.5** | Yes will receive max score and no will be zero | 10 | **5%** |
| Environment  | **7.6** | No will receive max score, answers with yes will be assessed by the environment team and scored taking into account the nature of offence and quantity.  | 10 | **5%** |
| Environment  | **7.7** | No will receive max score, answers with yes will be assessed by the environment team and scored taking into account the nature and action taken. | 10 | **5%** |
| Compatibility with NGNs Environment Strategy  | **7.8 (7.8 – 7.12)** | NGN will assess all responses, responses with yes will receive max score available. | 10 | **30%** |
| Sustainable Procurement & labour laws | **7.13 (7.13 – 7.22)** | NGN will assess all responses, responses with yes will receive max score available. | 10 | **30%** |
| **Section 8** | **Question**  | Sub Criteria  | **Max Score**  | **Weighting**  |
| Health & Safety  | **8.1** | The H&S team will assess all responses and will score in line with the scoring criteria below ‘scoring methodology for weighted questions’ | 10 | **60%** |
| Health & Safety | **8.2** | The H&S team will assess all responses and will score in line with the scoring criteria below ‘scoring methodology for weighted questions’ | 10 | **20%** |
| Health & Safety | **8.3** | The H&S team will assess all responses and will score in line with the scoring criteria below ‘scoring methodology for weighted questions’ | 10 | **20%** |
| **Section 9** | **Question**  | Sub Criteria  | **Max Score**  | **Weighting**  |
| PQQ Specific Questions  | **9.1-9.16** | This is a mandatory requirement. Suppliers who meet the requirement will Pass this question. Suppliers who are unable to demonstrate that they meet this requirement will Fail and will not be shortlisted to RFP. |  | **Pass/Fail** |
| PQQ Specific Questions  | **9.2** | This is a mandatory requirement. Suppliers who meet the requirement will Pass this question. Suppliers who are unable to demonstrate that they meet this requirement will Fail and will not be shortlisted to RFP. |  | **Pass/Fail** |
| PQQ Specific Questions  | **9.3** | This is a mandatory requirement. Suppliers who meet the requirement will Pass this question. Suppliers who are unable to demonstrate that they meet this requirement will Fail and will not be shortlisted to RFP. |  | **Pass/Fail** |
| PQQ Specific Questions  | **9.4** | This is a mandatory requirement. Suppliers who meet the requirement will Pass this question. Suppliers who are unable to demonstrate that they meet this requirement will Fail and will not be shortlisted to RFP. |  | **Pass/Fail** |
| PQQ Specific Questions  | **9.5** | This is a mandatory requirement. Suppliers who meet the requirement will Pass this question. Suppliers who are unable to demonstrate that they meet this requirement will Fail and will not be shortlisted to RFP. |  | **Pass/Fail** |
| PQQ Specific Questions  | **9.6** | This is a mandatory requirement. Suppliers who meet the requirement will Pass this question. Suppliers who are unable to demonstrate that they meet this requirement will Fail and will not be shortlisted to RFP. |  | **Pass/Fail** |
| PQQ Specific Questions  | **9.7** | This is a mandatory requirement. Suppliers who meet the requirement will Pass this question. Suppliers who are unable to demonstrate that they meet this requirement will Fail and will not be shortlisted to RFP. |  | **Pass/Fail** |
| PQQ Specific Questions  | **9.8** | This is a mandatory requirement. Suppliers who meet the requirement will Pass this question. Suppliers who are unable to demonstrate that they meet this requirement will Fail and will not be shortlisted to RFP. |  | **Pass/Fail** |
| PQQ Specific Questions  | **9.9** | This is a mandatory requirement. Suppliers who meet the requirement will Pass this question. Suppliers who are unable to demonstrate that they meet this requirement will Fail and will not be shortlisted to RFP. |  | **Pass/Fail** |
| PQQ Specific Questions  | **9.10** | This is a mandatory requirement. Suppliers who meet the requirement will Pass this question. Suppliers who are unable to demonstrate that they meet this requirement will Fail and will not be shortlisted to RFP. |  | **Pass/Fail** |
| PQQ Specific Questions  | **9.11** | This is a mandatory requirement. Suppliers who meet the requirement will Pass this question. Suppliers who are unable to demonstrate that they meet this requirement will Fail and will not be shortlisted to RFP. |  | **Pass/Fail** |
| PQQ Specific Questions  | **9.12** | This is a mandatory requirement. Suppliers who meet the requirement will Pass this question. Suppliers who are unable to demonstrate that they meet this requirement will Fail and will not be shortlisted to RFP. |  | **Pass/Fail** |
| PQQ Specific Questions  | **9.13** | This is a mandatory requirement. Suppliers who meet the requirement will Pass this question. Suppliers who are unable to demonstrate that they meet this requirement will Fail and will not be shortlisted to RFP. |  | **Pass/Fail** |
| PQQ Specific Questions  | **9.14** | This is a mandatory requirement. Suppliers who meet the requirement will Pass this question. Suppliers who are unable to demonstrate that they meet this requirement will Fail and will not be shortlisted to RFP. |  | **Pass/Fail** |
| PQQ Specific Questions  | **9.15** | This is a mandatory requirement. Suppliers who meet the requirement will Pass this question. Suppliers who are unable to demonstrate that they meet this requirement will Fail and will not be shortlisted to RFP. |  | **Pass/Fail** |
| PQQ Specific Questions  | **9.16** | This is a mandatory requirement. Suppliers who meet the requirement will Pass this question. Suppliers who are unable to demonstrate that they meet this requirement will Fail and will not be shortlisted to RFP. |  | **Pass/Fail** |

**Scoring Methodology for weighted questions**

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| **Points** | **Interpretation** |
| **10** | **Excellent** –Overall the response demonstrates that the bidder exceeds all areas of the requirement and provides all of the areas evidence requested in the level of detail requested. This, therefore, is a detailed excellent response that meets all aspects of the requirement leaving no ambiguity as to whether the bidder can meet the requirement.  |
| **8** | **Good** -Overall the response demonstrates that the bidder meets all areas of the requirement and provides all of the areas of evidence requested, but contains some trivial omissions in relation to the level of detail requested in terms of either the response or the evidence. This, therefore, is a good response that meets all aspects of the requirement with only a trivial level ambiguity due the bidder’s failure to provide all information at the level of detail requested.  |
| **5** | **Adequate** - Overall the response demonstrates that the bidder meets all areas of the requirement, but not all of the areas of evidence requested have been provided. This, therefore, is an adequate response, but with some limited ambiguity as to whether the bidder can meet the requirement due to the bidder’s failure to provide all of the evidence requested. |
| **2** | **Poor** – The response does not demonstrate that the bidder meets the requirement in one or more areas. This, therefore, is a poor response with significant ambiguity as to whether the bidder can meet the requirement due to the failure by the bidder to show that it meets one or more areas of the requirement. |
| **0**  | **Unacceptable** - The response is non-compliant with the requirements of the ITT and/or no response has been provided.  |

**Scoring Methodology for Financial Standing**

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|  |  | Check It (ICC) | Dunn & Bradstreet | Equifax | Experian | Graydons |
| **Assessment Score** | **Risk Rating** | **Credit Score Report** | **Comprehensive Report** |  | **Bronze, silver or Gold report** | **Level 1, 2 or 3 level report** |
| 100 | Minimal | 95-100 | 5A1 | A+ | 95-100 | 1A |
| 90 | Minimal | 90-94 | 5A2/4A1 | A/A- | 90-94 | 1B/2A |
| 80 | Minimal | 80-89 | 5A3/4A2/3A1 | B+ | 80-89 | 1C/2B/3A |
| 70 | Low | 70-79 | 4A3/3A2/2A1 | B/B- | 70-79 | 2C/3B/4A |
| 60 | Low | 60-69 | 3A3/2A2/1A1 | C+ | 60-69 | 3C/4B/5A |
| 50 | Low | 50-59 | 2A3/1A2/A1 | C/C- | 50-59 | 4C/5B/5A |
| 40 | Average | 40-49 | 1A3/A2/B1 | D+ | 40-49 | 5C/6B/7A |
| 30 | Average | 30-39 | A3/B2/C1 | D/D- | 30-39 | 6C/7B/8A |
| 20 | High | 20-29 | B3/C2/D1 | E+ | 20-29 | 8B |
| 10 | High | 10-19 | C3/D2/E1 | E/E- | 10-19 | 8C |
| 0 | High | Below 10 | Below E1 | Below E- | Below 10 | Below 8C |